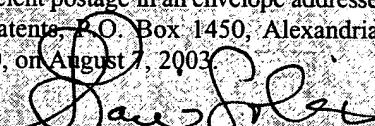


**ATTORNEY DOCKET NO.**  
**11321-P012USD14**

**PATENT**

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.  
Group Art Unit: Not Yet Assigned  
Serial No.: Not Yet Assigned  
Filed: Herewith  
Title: CARBON FIBERS FORMED FROM  
SINGLE-WALL CARBON NANOTUBES

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this Information Disclosure Statement with SB/08 A-B (Form 1449) and references are being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August 7, 2003.	
	
GRACIE SOLIS	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which they are aware, which he/she believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

**ATTORNEY DOCKET NO.**  
**11321-P012USD14**

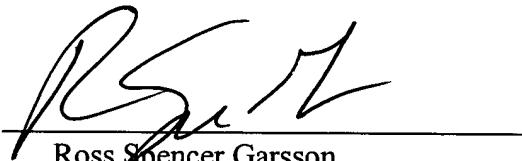
**PATENT**

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicant believes that no fee is due at this time. However, the Assistant Commissioner is hereby authorized to credit any overpayment or for inadvertently omitted fees to Deposit Account No. 23-2426 (11321-P012USD14).

Respectfully submitted,

By:



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AUSTIN\_1\224756\1  
11321-P012USD14 08/07/2003

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: Not Yet Assigned  
 Applicants: Richard E. Smalley et al.  
 Filing Date: Herewith  
 Group: Not Yet Assigned  
 Atty. Docket No.: 11321-P012USD14

## Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA	5,641,466	06/24/97	Ebbesen et al.	423	447.2	
ACA	5,560,898	10/01/96	Uchida et al.	423	461	
ADA	5,935,339	08/10/99	Henderson et al.	134	1	
AEA	5,730,940	03/24/98	Nakagawa	422	68.1	
AFB	5,363,697	11/15/84	Nakagawa	73	105	
AGA	4,785,189	11/15/88	Wells	250	492.2	
AHA	5,171,992	12/15/92	Clabes et al.	250	306	
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AKA	5,381,101	01/10/95	Bloom et al.	325	676	
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AMA	5,824,470	10/20/98	Baldeschwieler et al.	435	6	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes <u>      </u> No <u>      </u>
ANA	EP 1 176 234 A2	12/05/1993	European			
AOA	WO 96/18059	06/13/1996	PCT			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

## Examiner Initial

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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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